



41

\$1651

PTO/SB/21 (08-00)

Approved for use through 10/31/2002

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM		Application Number	
(to be used for all correspondence after initial filing)		09/960,256	
		Filing Date	
		September 20, 2001	
		First Named Inventor	
		Grooms, Jamie M., et al.	
Group Art Unit		1651	
Examiner Name		Jean C. Witz	
Attorney Docket Number		RTI 128R-13996US02	
Total Number of Pages in This Submission		11	
ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form		<input type="checkbox"/> Assignment Papers (for an Application)	
<input checked="" type="checkbox"/> Fee Attached - \$950.00		<input type="checkbox"/> Drawing(s) (sheets)	
<input checked="" type="checkbox"/> Response To Restriction Under 35 U.S.C. §121 And Preliminary Amendment		<input type="checkbox"/> Licensing-related Papers	
<input checked="" type="checkbox"/> Extension of Time Request		<input type="checkbox"/> Petition	
<input type="checkbox"/> Express Abandonment Request		<input type="checkbox"/> Petition to Convert to a Provisional Application	
<input type="checkbox"/> Information Disclosure Statement		<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	
<input type="checkbox"/> PTO 1449/08A with references		<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Certified Copy of Priority Document(s)		<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		<input type="checkbox"/> CD Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		Remarks	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual Name	Donald J. Pochopien, Reg. No. 32,167 McAndrews Held & Malloy, Ltd.		
Signature			
Date	February 2, 2004		
CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 2, 2004			
Name (Print/type)	Donald J. Pochopien	Registration No. (Attorney/Agent)	32,167
Signature		Date	February 2, 2004



PTO 158/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004 Patent Fees are subject to annual revision.		Complete if Known	
		Application Number	09/960,256
		Filing Date	September 20, 2001
		First Named Inventor	Grooms, Jamie M., et al.
		Examiner Name	Jean C. Witz
		Group Art Unit	1651
TOTAL AMOUNT OF PAYMENT	(\$950.00)	Attorney Docket No.	RTI 128R-13996US02

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES	
Deposit Account Number	13-0017	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)
Deposit Account Name	McAndrews Held & Malloy	Fee Code (\$)	Fee Description
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		1051 130 2051 65	Surcharge - late filing fee or oath
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		1052 50 2052 25	Surcharge - late provisional filing fee or cover sheet
FEE CALCULATION		1053 130 1053 130	Non-English specification
1. BASIC FILING FEE		1812 2,520 1812 2,520	For filing a request for <i>ex parte</i> reexamination
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	1804 920* 1804 920*	Requesting publication of SIR prior to Examiner action
1001 770 2001 385	Utility filing Fee	1805 1,840* 1805 1,840*	Requesting publication of SIR after Examiner action
1002 340 2002 170	Design filing Fee	1251 110 2251 55	Extension for reply within first month
1003 530 2003 265	Plant filing fee	1252 420 2252 210	Extension for reply within second month
1004 770 2004 385	Reissue filing fee	1253 950 2253 475	Extension for reply within third month
1005 160 2005 80	Provisional filing fee	1254 1,480 2254 740	Extension for reply within fourth month
SUBTOTAL (1) (\$90)		1255 2,010 2255 1,005	Extension for reply within fifth month
2. EXTRA CLAIM FEES		1401 330 2401 165	Notice of Appeal
Total Claims	Extra Claims	1402 330 2402 165	Filing a brief in support of an appeal
Independent Claims	Fee from below	1403 290 2403 145	Request for oral hearing
Multiple Dependent	Fee Paid	1451 1,510 1451 1510	Petition to institute a public use proceeding
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	1452 110 2452 55	Petition to revive - unavoidable
1202 18 2202 9	Claims in excess of 20	1453 1,330 2453 665	Petition to revive - unintentional
1201 86 2201 43	Independent claims in excess of 3	1501 1,330 2501 665	Utility issue fee (or reissue)
1203 290 2203 145	Multiple dependent claim, if not paid	1502 480 2502 240	Design issue fee
1204 86 2204 43	**Reissue independent claims over original patent	1503 640 2503 320	Plant issue fee
1205 18 2205 9	**Reissue claims in excess of 20 and over original patent	1460 130 1460 130	Petitions to the Commissioner
SUBTOTAL (2) (\$9)		1807 50 1807 50	Processing fee under 37 CFR 1.17(q)
**or number previously paid, if greater; For Reissues, see above		1806 180 1806 180	Submission of Information Disclosure Stmt
		8021 40 8021 40	Recording each patent assignment per property (times number of properties)
		1809 770 2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))
		1810 770 2810 385	For each additional invention to be examined (37 CFR 1.129(b))
		1801 770 2801 385	Request for Continued Examination (RCE)
		1802 900 1802 900	Request for expedited examination of a design application
		Other fee (specify)	
		SUBTOTAL (3)	(\$950.00)

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Donald J. Pochopien	Registration No. (Attorney or Agent)	32,167
Signature		Telephone	312-775-8000
		Date	February 2, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.